

1. Schedule Number 023-031		Date 1/6/23	2. New X	Revision of	MINNESOTA RECORDS RETENTION SCHEDULE	
3. Agency Minnesota State University, Mankato			4. Division/Section All Divisions		6. Page 1 of 5	
5. Address Memorial Library, P.O. Box 8419, Mankato, MN 56002-8419					See attached page(s) for records description	
7. For Use By Records Panel Only						
AUTHORIZATION: Under the authority of M.S. 138.17, it is hereby ordered that the records listed on this application be disposed per approved schedule.				Notice: This retention schedule has been reviewed by the State Records Disposition Panel in accordance with Minnesota Statutes 138.17. The records listed on this schedule have been reviewed for their historical, fiscal, and legal value.		
8. Agency Records Management Officer (signature) <i>Daardi Mixon</i>		Date 11/4/2022		11. Minnesota Historical Society, Director <i>Charles Hodgen</i>		Date 18 Jan 2023
9. Type Name / Phone Daardi Sizemore Mixon, University Archivist 507-389-5949 daardi.mixon@mnsu.edu			12. Legislative or State Auditor <i>Judy Kandell</i>		Date 2-2-23	
10. Agency Head or Designee (signature) <i>Edward S. Anck / aka</i>		Date 12/14/22		13. Attorney General <i>[Signature]</i>		Date 1/31/23

Original-State Records Disposition Panel

Copy 1-Agency (after approval)

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Note: Most of the records on this schedule are private based on the Family Educational Rights and Privacy Act (FERPA). The FERPA statute is found at 20 U.S.C. § 1232g and the FERPA regulations are found at 34 CFR Part 99.

14. Item No.	15. Record Series Title and Description	16. Retention Instructions	17. Statute	18. Vital? (Yes/no)	19. Archival? (Yes/no)
Admissions Records					
1	Admissions – Applicants who do not enroll (Admissions Office)	1 years after application term		N	N
2	Admissions – Applicants who enroll (May include: acceptance letter, applications, high school transcripts, test scores, I-20, etc.)	5 years after graduation or date of last attendance		N	N
Registrar Records					
3	Academic Record (transcript) ISRS	Permanent		Y	Y
4	Advanced Placement and Other Placement Tests	Permanent		Y	N
5	Application for Graduation	Permanent		Y	N
6	Athlete Records – Eligibility and academic information, documentation of participation, etc.	10 years		Y	N
7	Change of Course (Drop/Add)	2 years after date submitted		N	N
8	Change of Grade	Permanent		Y	N
9	Class Roster (Original Grades)	Permanent		Y	N
10	Class Schedules (Students) ISRS	Permanent		Y	N
11	Course Schedules	Permanent: transfer 1 copy to Archives each year (Registrar)		Y	Y
12	Curriculum Change Authorization (CDS)	5 years after graduation or date of last attendance		Y	N
13	Disciplinary Action Record – Grade or program actions, notice of sanctions related to personal conduct	5 years after graduation or date of last attendance		Y	N
14	Enrollment Verification	1 year after verification		N	N

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15	FERPA Record	Permanent, as long as relevant student records are retained		Y	N
16	Foreign/International Student Records (INS Record)	5 years after graduation or date of last attendance		Y	N
17	Graduation List (ISRS)	Permanent		Y	Y
18	Graduation Authorization (ISRS)	Permanent		Y	N
19	Hold or Encumbrance Authorization (ALL)	Until released		N	N
20	Military Record	Permanent		Y	N
21	Name Change Authorization	Permanent		Y	N
22	Personal Data Information Record	Permanent		Y	N
23	Registration Record (ISRS)	Permanent		Y	N
24	Transcript Request (student's)	1 year after date submitted		N	N
25	Transfer Credit Evaluation (ISRS)	Permanent		Y	N
26	Veterans Administration	Permanent		Y	N
27	Withdrawal Authorization	Permanent		Y	N
	Academic Affairs Records – Publications, Statistical Data and Institutional Reports				
28	Catalog/Bulletin	Permanent: transfer 2 copies to Archives each year	M.S. 13.03	Y	Y
29	Commencement Program	Permanent: transfer 2 copies to Archives each year	M.S. 13.03	Y	Y
30	Degree Statistics	Permanent: transfer copy to Archives each year	M.S. 13.03	Y	Y
31	Enrollment Statistics	Permanent: transfer copy to Archives each year	M.S. 13.03	Y	Y
32	Grade Statistics	Permanent: transfer copy to Archives each year	M.S. 13.03	Y	Y
33	Race/Ethnicity Statistics	Permanent: transfer copy to Archives each year	M.S. 13.03	Y	Y
34	Curriculum Change Authorization (CDS)	Permanent	M.S. 13.03	Y	N

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Student Affairs					
35	Disciplinary Action Record – Grade or program actions, notice of sanctions related to personal conduct	7 years		Y	N
36	Academic Action Authorization (dismissal)	5 years after graduation or date of last attendance*		Y	N
Student Financial Services					
37	Student Financial Aid (SFA) program records – Accrediting and licensing agency review and reports, audit reports, program participation agreement, self-evaluation reports, state agency reports	3 years from award year	Reports M.S. 13.03	Y	Y??
38	SFA Fiscal Records – Account information for SFA funds, federal work-study payroll records, SFA ledgers and transactions, record of student accounts, records supporting data required on reports	3 years from award year		Y	N
39	SFA Recipient Records – Application data, data used to establish student enrollment, date and amount of disbursements, documentation of student eligibility, satisfactory academic progress, and program and enrolled courses, documentation about receipt of aid and calculations used to determine aid amounts, financial aid history of transfer students, Student Aid Report (SAR) or Institutional Student Information Record (ISIR)	3 years from award year		Y	N
40	Specific Aid Requirements: Campus based aid (Perkins loan, SEOG, and Federal Work Study, FFEL and Direct Loans, PELL Grant	3 years from award year		Y	N
41	Perkins repayment records	3 years from date loan assigned, cancelled, or repaid		Y	N
42	Perkins original promissory notes	Until loan is satisfied		Y	N
Student Records held by Faculty/Departments/Colleges					
43	Grade books	5 years after conclusion of class		N	N
44	Student exam/papers	1 month after end of term		N	N
45	Academic advising records	3 years after graduation or date of last attendance		N	N

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46	Accessibility Resources Accommodation File (Per discussion with Accessibility Resources – 11/11/15)	3 years after graduation or date of last attendance		N	N
47	Teacher certification	1 year after certification		Y	N
International Student Office (ISO)					
48	International Student Records (Includes: alien registration card, work authorization (if granted), I-20, I94 card, passport number, etc.)	5 years after graduation or date of last attendance		Y	N
Equal Opportunity & Title IX (EOTIX)					
49	Student conduct records documenting reports/complaints, investigations, findings, corrective actions/sanctions, and other related documentation and correspondence, and final disposition does not exceed disciplinary probation	7 years or permanent	20 U.S. Code § 1092	Y	N
50	Student conduct records documenting reports/complaints, investigations, findings, corrective actions/sanctions, and other related documentation and correspondence with final disposition resulting in disciplinary suspension, disciplinary expulsion, or ineligibility to enroll	Permanent	20 U.S. Code § 1092	Y	N